

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

AUG 18 2006

Sheet

1

of

1

## Complete if Known

Application No.	10/774,954
Filing Date	February 9, 2004
First Named Inventor	W. Paul Willes
Art Unit	2622
Examiner Name	Ngoc Yen T. Vu
Attorney Docket Number	23839-11592

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/NDHH/	A1	US-6,323,897	11-27-2001	Kogane et al.
		US-		
		US-		
		US-		

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

## OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	Halo Tracklighting and Surfacelighting Brochure, Cooper Lighting, 131 pages.	

Examiner Signature	/Nelson Hernandez/	Date Considered	03/20/2007
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

23839/11592/DOCS/1647883.1

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.  
4527 P

SERIAL NO.  
n/a

Willes et al.

FILING  
9 February 2004

GROUP  
n/a

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/NDHH/	5,137,238	08/11/1992	Hutten	248	206.3	09/09/1991
	5,426,476	06/20/1995	Fussell et al.	354	74	11/16/1994
	5,510,863	04/23/1996	Kliwer	354	81	11/17/1993
	5,802,412	09/01/1998	Kahn	396	427	04/11/1997
	5,926,209	07/20/1999	Glatt	348	143	04/14/1995
	6,115,068	09/05/2000	Ariga et al.	348	373	06/07/1996
	6,130,704	10/10/2000	Ely et al.	348	143	10/22/1998
	US 6,392,693 B1	05/21/2002	Wakiyama et al.	348	143	07/15/1999
	US 6,439,515 B1	08/27/2002	Powers	248	129	10/10/2000
	US 2002/0162867 A1	11/07/2002	Watkins	224	275	11/13/2001
/NDHH/	US 2002/0179787 A1	12/05/2002	Nakatani	248	187.1	04/24/2001

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER /Nelson Hernandez/

DATE CONSIDERED 03/20/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO. 4527 P		SERIAL NO. n/a
Willes et al.		
FILING 9 February 2004		GROUP n/a

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/NDHH/	US 2002/0163588 A1	11/07/2002	Holmberg	348	373	06/25/2002
	US 2003/0025802 A1	02/06/2003	Mayer, Jr. et al.	348	211.99	05/29/2002
	US 2003/0012571 A1	01/16/2003	Nakatani	396	428	04/24/2001
	US 2003/0012571 A1	01/16/2003	Nakatani	396	428	04/24/2001
	US 2003/0057339 A1	03/27/2003	Watkins	248	274.1	05/12/2001
	US 2003/0156836 A1	08/21/2003	Ward	396	419	02/20/2002
	US 2003/0058363 A1	03/27/2003	Boyden et al.	348	375	09/27/2001
/NDHH/	US 2003/0076441 A1	04/24/2003	Maeda et al.	348	375	09/05/2002

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER /Nelson Hernandez/	DATE CONSIDERED 03/20/2007
-----------------------------	----------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.